

07/24/01

J-928 U.S. PTO

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PTO/SB/05 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 94101503(GB)USD1 PDDD

First Inventor Adrian P. Wise

Title DATA RATE CONVERSION

Express Mail Label No. TB628505306US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 25 ]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [ Total Sheets 8 ]
5. Oath or Declaration [ Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [ Power of Attorney ]  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 [ Copies of IDS Citations ]
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 08,516,038

Prior application information:

Examiner V. Srivastava

Group I Art Unit: 2611

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

Name

Address

City

Country

PATENT TRADEMARK OFFICE

State

Zip Code

Telephone

Fax

Name (Print/Type)

Richard Stokey

Registration No. (Attorney/Agent)

40,383

Signature

Date 7/24/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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jc928 U.S. PTO

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jc979 U.S. PTO  
09/912216  
07/24/01

July 24, 2001

VIA EXPRESS MAIL  
Document Control No. IBBX

BOX: Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

RE: U. S. Patent Application Ser. No. Not Yet Known  
DATA RATE CONVERSION  
Atty Docket No. 94101503(GB)USD1 PDDD

Sir:

Enclosed for filing please find the following:

22887  
PATENT TRADEMARK OFFICE

1. Utility Patent Application Transmittal for 1.53(b)
2. Fee Transmittal Form
3. Declaration and Petition of Adrian Wise from parent application
4. Communication – Cross Reference to Related Applications
5. Information Disclosure Statement; PTO-1449
6. Notice of Recordation of Assignment Document from parent application
7. Assignment from parent application
8. Communication – Submission of Formal Drawings
9. Formal Drawings 1-6 on 5 sheets
10. Specification including 25 pages, 20 claims, and an abstract
11. Certificate of Express Mail No. TB628505306US dated July 24, 2001
12. Postcard (control #IBER6)

Please indicate on the enclosed pre-addressed, postage pre-paid postcard the date of receipt of the enclosed materials and mail the postcard to acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

Richard Stokey  
Patent Prosecution Attorney

RS:sd

Encls

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ ) 790.00

**Complete if Known**

Application Number	Not Yet Known
Filing Date	Herewith
First Named Inventor	Adrian P. Wise
Examiner Name	V. Srivastava (anticipated)
Group / Art Unit	2611 (anticipated)
Attorney Docket No.	94101503(GB)USD1 PDDD

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number  
04-1175  
Deposit Account Name  
DISCOVISION ASSOCIATES

- ☒
- Charge Any Additional Fee Required Under 37 CFR §§1.16 and 1.17
- 
- ☐
- Applicant claims small entity status See 37 CFR §1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other
**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUB TOTAL (1) (\$ ) 710.00

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
20	-20**= 0	18.00	0
Independent Claims 4	-3**= 1	80.00	80
Multiple Dependent	0		0

Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Fee Description
103	18	203	9	Claim in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 80.00

\*\*or number previously paid, if greater; For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee Code	Small Fee Code	Entity Fee Code	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (7CFR §1.127(a))	
149	710	249	355	For each additional invention to be examined (37CFR §1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) \$ 0

**SUBMITTED BY**

Complete (if applicable)

Typed or

Printed Name

Richard Stokey

Reg. Number

40,383

Signature

Date

7/24/01

Deposit Account User ID

04-1175

**WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:  
Adrian P. Wise

Filed: HEREWITH

For: DATA RATE CONVERSION

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Serial No.: Not Yet Known

Art Unit: 2611 (anticipated)

Examiner: V. Srivastava (anticipated)

Attorney Docket No.:  
94101503(GB)USD1 PDDD

BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington DC 20231

## COMMUNICATION

FILING OF CORRESPONDENCE BY EXPRESS MAIL UNDER 37 C.F.R. §1.10	
TB628505306US Express Mail Label Number	7/24/01 Date of Deposit

Sir:

In complying with the duty of disclosure set forth in 37 CFR 1.56, Applicant wishes to inform the Examiner of the following copending Applications which are directed to subject material related to that disclosed in this Application.

Appl'n No.	Filing Date
382,958	02/02/95
400,397	03/07/95
482,296	06/07/95
486,396	06/07/95
484,730	06/07/95
479,279	06/07/95
483,020	06/07/95
487,224	06/07/95

Appl'n No.	Filing Date
400,722	03/07/95
400,723	03/07/95
404,067	03/14/95
567,555	12/05/95
396,834	03/01/95
473,813	06/07/95
484,456	06/07/95
476,814	06/07/95
481,561	06/07/95

Appl'n No.	Filing Date
482,381	06/07/95
479,910	06/07/95
475,729	06/07/95
484,578	06/07/95
473,615	06/07/95
487,356	06/07/95
487,134	06/07/95
481,772	06/07/95
481,785	06/07/95
486,908	06/07/95
486,034	06/07/95
487,740	06/07/95
488,348	06/07/95
484,170	06/07/95
516,038	08/17/95
399,810	03/07/95
400,201	03/07/95
400,215	03/07/95
400,072	03/07/95
402,602	03/07/95
400,206	03/07/95
400,151	03/07/95
400,202	03/07/95

Appl'n No.	Filing Date
400,398	03/07/95
400,161	03/07/95
400,141	03/07/95
400,211	03/07/95
400,331	03/07/95
400,207	03/07/95
399,898	03/07/95
399,665	03/07/95
400,058	03/07/95
399,800	03/07/95
399,801	03/07/95
399,799	03/07/95
474,222	06/07/95
486,481	06/07/95
474,231	06/07/95
474,830	06/07/95
474,220	06/07/95
473,868	06/07/95
474,603	06/07/95
485,242	06/07/95
477,048	06/07/95
485,744	06/07/95
516,038	08/17/95

Applicant believes that no fee is due. However, the Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1175.

Respectfully submitted,



Richard Stokey  
Reg. No. 40,383

Date: 7/24/01

DISCOVISION ASSOCIATES  
INTELLECTUAL PROPERTY DEVELOPMENT  
P.O. Box 19616  
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